



Size Self Certification Form

Company Registered Name: \_\_\_\_\_
Address: \_\_\_\_\_
City, State, Zip Country \_\_\_\_\_
Contact Person \_\_\_\_\_
Phone & Fax Number \_\_\_\_\_
UEI # & CAGE (if have) \_\_\_\_\_

SIZE:

- \_\_\_ Large Business (LB)
\_\_\_ Small Business (SB)
\_\_\_ International company (Non-U.S. based)
Check ALL SB Concerns that apply to your business (See Small & Diverse Business Classifications on the next page)
\_\_\_ Small Disadvantaged Business (SDB)
\_\_\_ Women Owned Small Business (WOSB)
\_\_\_ Veteran Owned Small Business (VOSB)
\_\_\_ Service-Disabled Veteran Owned Small Business (SDVOSB)
\_\_\_ Certified HUBZone Small Business1
\_\_\_ Alaskan Native Corporation (ANC)2
\_\_\_ Native American/ Indian Tribe3

Primary NAICS Code: \_\_\_\_\_

Important Notice:

Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small business in order to obtain a subcontract that is to be included as part or all of a goal contained in a subcontracting plan required pursuant to Section 8(d) of the Small Business Act, shall (1) be punished by imposition of a fine, imprisonment or both; (2) be subject to administrative remedies; and (3) be ineligible for participation in the programs conducted under the authority of the Act (FAR 52.219.1)

You may wish to review the definitions for the above categories in the Federal Acquisition Regulation 19.7 or 52.219-8. If you have difficulty determining your size status, please call 1-800-U-ASK-SBA or refer to SBA's website at http://www.sba.gov/size or https://www.sba.gov/size-standards/index.html

I hereby certify that all information provided above and in attachments hereto is true and complete to the best of my knowledge and belief.

Signature \_\_\_\_\_ Title \_\_\_\_\_
(Authorized Official of the Company)

Printed Name \_\_\_\_\_ Date \_\_\_\_\_

\*\*\*\*\*INTERNAL USE ONLY\*\*\*\*\*

1HUBZone Status verified checking Dynamic Small Business Search Database (DSBS) as of \_\_\_/\_\_\_/\_\_\_ or www.SAM.gov FAR & DFAR Report as of \_\_\_/\_\_\_/\_\_\_ or http://www.sba.gov/hubzone \_\_\_/\_\_\_/\_\_\_

2Alaska Native Corporation verified checking the National Congress of American Indians (NCAI) as of \_\_\_/\_\_\_/\_\_\_

3Native American/Indian Tribe verified checking the Federal Register as of \_\_\_/\_\_\_/\_\_\_

## Business Classifications & Definitions

**Large Business: (LB)** means a business that exceeds the small business size code standards established by the Small Business Administration as set forth in the Code of Federal Regulations, Title 13, Part 121.

**Concern** means any business entity organized for profit (even if its ownership is in the hands of a nonprofit entity) with a place of business located in the United States or its outlying areas and that makes a significant contribution to the U.S. economy through payment of taxes and/or use of American products, material and/or labor, etc. "Concern" includes but is not limited to an individual, partnership, corporation, joint venture, association, or cooperative. For more information, see 13 CFR 121.105.

**Small Business Concern: (SB)** as defined in FAR 52.219-8, 13 CFR Part 121, 13 CFR 121.410 means a concern, including its affiliates, that is independently owned and operated, not dominant in its field of operation and qualified as a small business under the criteria and size standards in [13 CFR part 121](#), including the size standard that corresponds to the NAICS code assigned to the contract or subcontract.

**Small Disadvantaged Small Business Concern (SDB)** as defined in 13 CFR 124.1002 and means a small business concern under the size standard applicable to the acquisition, that—(1) Is at least 51 percent unconditionally and directly owned (as defined at 13 CFR 124.105) by—(i) One or more socially disadvantaged (as defined at 13 CFR 124.103) and economically disadvantaged (as defined at 13 CFR 124.104) individuals who are citizens of the United States; and (ii) Each individual claiming economic disadvantage has a net worth not exceeding \$850,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and (2) The management and daily business operations of which are controlled (as defined at 13.CFR 124.106) by individuals, who meet the criteria in paragraphs (1)(i) and (ii) of this definition.

**Veteran-Owned Small Business Concern (VOSB)** means a small business concern—(1) Not less than fifty-one percent (51%) of which is owned by one or more veterans (as defined at [38 U.S.C.101\(2\)](#)) or, in the case of any publicly owned business, not less than fifty-one percent (51%) of the stock of which is owned by one or more veterans; and (2) The management and daily business operations of which are controlled by one or more veterans.

**Service-Disabled Veteran Owned Small Business Concern (SDVOSB)** as defined in [38 U.S.C.101\(2\)](#), with a disability that is service-connected, as defined in [38 U.S.C.101\(16\)](#) and means a small business concern that is (i) not less than fifty-one percent (51%) of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than fifty-one percent (51%) of the stock of which is owned by one or more service-disabled veterans; and (ii) the management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a service-disabled veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

**Woman Owned Small Business (WOSB)** means a small business concern—(1) That is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and (2) Whose management and daily business operations are controlled by one or more women.

**Economically Disadvantaged Woman Owned Small Business (EDWOSB)** means a small business concern—(1) Meet all the requirements of the WOSB Federal Contract program, (2) Be owned and controlled by one or more women, each with a personal net worth less than \$850,000, (3) Be owned and controlled by one or more women, each with \$450,000 or less in adjusted gross income averaged over the previous three years, (4) Be owned and controlled by one or more women, each \$6.5 million or less in personal assets. WOSB or EDWOSB qualification are fully defined in [Title 13 Part 127 Subpart B of the Code of Federal Regulations](#) (CFR). Firms can also get a preliminary assessment of whether they qualify at [WOSB.Certify.sba.gov](#).

**HUBZone Small Business Concern:** Historically Underutilized Business Zones (**HUBZone**) as defined in FAR 52.219-8, 13 CFR Part 126.200 and means a small business concern that meets the requirements described in [13 CFR 126.200](#), certified by the Small Business Administration (SBA) and designated by SBA as a HUBZone small business concern in the Dynamic Small Business Search (DSBS) and SAM.

**Historically Black College or University** means an institution determined by the Secretary of Education to meet the requirements of 34 CFR 608.2.

**Minority Institution** means an institution of higher education meeting the requirements of Section 365(3) of the Higher Education Act of 1965 ([20 U.S.C.1067k](#)), including a Hispanic-serving institution of higher education, as defined in Section 502(a) of the Act ([20 U.S.C.1101a](#)).

**Alaska Native Corporation** means any Regional Corporation, Village Corporation, Urban Corporation, or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amended ([43 U.S.C. 1601, et seq.](#)) and which is considered a minority and economically disadvantaged concern under the criteria at [43 U.S.C. 1626\(e\)\(1\)](#). This definition also includes ANC direct and indirect subsidiary corporations, joint ventures, and partnerships that meet the requirements of [43 U.S.C. 1626\(e\)\(2\)](#).

**Indian tribe** means Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act ([43 U.S.C.A. 1601 et seq.](#)), that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs in accordance with [25 U.S.C. 1452\(c\)](#). This definition also includes Indian-owned economic enterprises that meet the requirements of [25U. S.C. 1452\(e\)](#).

**Certified 8(a) Small Business** as defined in 13 CFR 124.101 through 124.112 and meets the eligibility criteria—(1) be a small business, (2) not have previously participated in the 8(a) program, (3) be at least 51% owned and controlled by U.S. citizens who are socially and economically disadvantaged, (4) have a personal net worth of \$850,000 or less, adjusted gross income of \$400,000 or less, and assets totaling \$6.5 million or less, (5) demonstrate good character, (6) demonstrate the potential for success such as having been in business for two years. SBA designates the concern as an 8(a) participant in the Dynamic Small Business Search (DSBS) at [https://web.sba.gov/pro-net/search/dsp\\_dsbs.cfm](https://web.sba.gov/pro-net/search/dsp_dsbs.cfm). SBA's designation also appears in the System for Award Management (SAM). 8(a) firms automatically qualify for Small Disadvantaged Business certification.

**Disability-Owned Business (DOB)** means at least 51% owned by handicapped persons who exercise the power to make policy decisions and who are actively involved in the day-to-day management of the business. A disabled person has a physical or mental impairment that substantially limits one or more major life activities, has a record of such impairment, or is regarded as having such impairment.

**Minority-Owned Small Business (MOSB)** The U.S. Department of Commerce operates the [Minority Business Development Agency](#), which is dedicated to the growth and global competitiveness of business enterprises that are at least fifty-one percent (51%) owned and operated by African Americans, Asian Americans, Hasidic Jews, Hispanic Americans, Native Americans, and Pacific Islanders or, in the case of any publicly owned business, at least fifty-one percent (51%) of the stock is owned by one or more minorities. In addition, one or more minorities must control the management and daily business operations.

**Joint Ventures (JV)** when—(1) A joint venture qualifies as a small business concern if—(i) Each party to the joint venture qualifies as small under the size standard for the solicitation; or (ii) The protégé is small under the size standard for the solicitation in a joint venture comprised of a mentor and protégé with an approved mentor-protégé agreement under a SBA mentor-protégé program. (2) A joint venture qualifies as—(i) A service-disabled veteran-owned small business concern if it complies with the requirements in [13 CFR part 125](#); or (ii) A HUBZone small business concern if it complies with the requirements in [13 CFR 126.616\(a\)](#) through [\(c\)](#).

**\*\*PLEASE NOTE: ensure to update the Self-Certification Form annually.**